

cally labile, since our main product,  $\text{H}_2\text{Ru}_4(\text{CO})_{13}$ , does not contain hydrocarbon ligands.

The details of the mechanism of the light-induced chemistry are still under study, but for now the principal finding is that catalytic chemistry of olefins can be induced at lower temperatures than needed thermally. Previous studies<sup>6-8</sup> show that  $\text{H}_4\text{Ru}_4(\text{CO})_{12}$  and its substituted derivatives are thermal catalysts for alkene isomerization and hydrogenation, but the temperatures used are at least in the 70–80 °C range; we find no thermal chemistry on the same time scale as our photo-reactions at 25 °C. We find an initial *trans*- to *cis*-2-pentene ratio from 1-pentene to be near that found thermally,<sup>6a</sup> and the principal formation of 1-pentene from 1-pentyne and *cis*-2-pentene from 2-pentyne at low extent conversion parallels findings from the thermal catalysis.<sup>6b</sup> Thus, it would appear that the same catalyst is involved thermally and photochemically. Finally, the effect of added CO is to suppress both thermal<sup>6</sup> and photochemical olefin reactions. On these grounds and the photosubstitution chemistry of  $\text{H}_4\text{Ru}_4(\text{CO})_{12}$  we assert that photoinduced ejection of CO is contributory to achieving the catalytically active species, as is proposed in the thermal chemistry.<sup>6</sup> These data do not yet constitute unequivocal proof that the  $\text{Ru}_4$  core remains intact during catalysis, since small amounts of very active mononuclear catalysts may yet be present. However, the photosubstitution does take the system a step closer to the catalyst at lower temperatures than ordinarily needed. The  $\text{H}_4\text{Os}_4(\text{CO})_{12}$  photochemistry in the presence of alkenes is clearly related to our work<sup>4</sup> and has led to isolable, apparently inert,  $\text{Os}_4$  species that may be the result of CO ejection from  $\text{H}_4\text{Os}_4(\text{CO})_{12}$ , but loss of hydrogen also occurs and it is not clear how this happens.

Preliminary results show that  $\text{H}_2\text{Os}_3(\text{CO})_{10}$ ,  $\text{H}_3\text{Mn}_3(\text{CO})_{12}$ , and  $\text{H}_4\text{Re}_4(\text{CO})_{12}$  can also effect stoichiometric reduction of olefins when irradiated at 25 °C. We do not imply that the metal core necessarily remains intact in these instances,<sup>22</sup> but rather we mention these as examples to illustrate that other polynuclear hydrides aside from  $\text{H}_4\text{Ru}_4(\text{CO})_{12}$  yield reactive reducing agents when irradiated.

**Acknowledgments.** We thank the National Science Foundation and the Office of Naval Research for support of this research. Partial support from GTE Laboratories, Inc., is also gratefully acknowledged. M.S.W. acknowledges support as a Dreyfus Teacher-Scholar grant recipient, 1975–1980, and J.L.G. as an Arthur D. Little Fellow in the Department of Chemistry MIT, Spring, 1979.

## References and Notes

- Geoffroy, G. L.; Wrighton, M. S. "Organometallic Photochemistry"; Academic Press: New York, 1979.
- Bock, C. R.; Wrighton, M. S. *Inorg. Chem.* **1977**, *16*, 1309.
- Geoffroy, G. L.; Epstein, R. A. *Inorg. Chem.* **1977**, *16*, 2795.
- (a) Johnson, B. F. G.; Kelland, J. W.; Lewis, J.; Rehani, S. K. *J. Organomet. Chem.* **1976**, *113*, C42. (b) Bhaduri, S.; Johnson, B. F. G.; Kelland, J. W.; Lewis, J.; Raitby, P. R.; Rehani, S.; Sheldrick, G. M.; Wong, K.; McPartlin, M. *J. Chem. Soc. Dalton Trans.* **1979**, 562.
- Heveldt, P. F.; Johnson, B. F. G.; Lewis, J.; Raitby, P. R.; Sheldrick, G. M. *J. Chem. Soc., Chem. Commun.* **1978**, 340.
- (a) Valle, M.; Osella, D.; Vaglio, G. A. *Inorg. Chim. Acta* **1976**, *20*, 213. (b) Lausarot, P. M.; Vaglio, G. A.; Valle, M. *Ibid.* **1979**, *36*, 213; *Ibid.* **1977**, *25*, L107; *Gazz. Chim. Ital.* **1979**, *109*, 127.
- (a) Vaglio, G. A.; Valle, M. *Inorg. Chim. Acta* **1978**, *30*, 161. (b) Lausarot, P. M.; Vaglio, G. A.; Valle, M. *Transition Met. Chem.* **1979**, *4*, 39.
- (a) Bianchi, M.; Frediani, P.; Matteoli, U.; Menchi, G.; Piacenti, F.; Botteghi, C.; Giadiali, S. Abstract 69 of International Symposium on Homogeneous Catalysis, Corpus Christi, Texas, 1978. (b) Otero-Schipper, Z.; Lieto, J.; Gates, B. C. Abstracts of Papers, 178th National Meeting of the American Chemical Society, Washington, D.C., 1979; American Chemical Society: Washington, D.C., 1979; INOR 3. (c) Frediani, P.; Matteoli, U.; Bianchi, M.; Piacenti, F.; Menchi, G. *J. Organomet. Chem.* **1978**, *150*, 273. (d) Botteghi, C.; Bianchi, M.; Benedetti, E.; Matteoli, U. *Chimia* **1975**, *29*, 258.
- Knox, S. A. R.; Koepke, J. W.; Andrews, M. A.; Kaesz, H. D. *J. Am. Chem. Soc.* **1975**, *97*, 3942.
- (a) Piacenti, F.; Bianchi, M.; Frediani, P.; Benedetti, E. *Inorg. Chem.* **1971**, *10*, 2759. (b) Knox, S. A. R.; Kaesz, H. D. *J. Am. Chem. Soc.* **1971**, *93*, 4594.
- Wrighton, M. S.; Ginley, D. S. *J. Am. Chem. Soc.* **1975**, *97*, 2065, 4246, 4908.

- Abrahamson, H. B.; Wrighton, M. S. *Inorg. Chem.* **1978**, *17*, 1003.
- Abrahamson, H. B.; Palazzotto, M. C.; Reichel, C. L.; Wrighton, M. S. *J. Am. Chem. Soc.* **1979**, *101*, 4123.
- Graff, J. L.; Sanner, R. D.; Wrighton, M. S. *J. Am. Chem. Soc.* **1979**, *101*, 273.
- Austin, R. G.; Paonessa, R. S.; Giordano, P. J.; Wrighton, M. S. *Adv. Chem. Ser.* **1978**, No. 168, 189.
- Epstein, R. A.; Gaffney, T. R.; Geoffroy, G. L.; Gladfelter, W. L.; Henderson, R. S. *J. Am. Chem. Soc.* **1979**, *101*, 3847.
- (a) Byers, B. H.; Brown, T. L. *J. Am. Chem. Soc.* **1975**, *97*, 947, 3260; **1977**, *99*, 2527. (b) Absi-Halabi, M.; Brown, T. L. *Ibid.* **1977**, *99*, 2982. (c) Kidd, D. R.; Brown, T. L. *Ibid.* **1978**, *100*, 4103.
- Koel, B. E.; White, J. M.; Erskine, J. L.; Antoniewicz, P. R. *Adv. Chem. Ser.* **1980**, No. 184, 27.
- Canty, A. J.; Domingos, A. J. P.; Johnson, B. F. G.; Lewis, J. *J. Chem. Soc., Dalton Trans.* **1973**, 2056.
- Johnson, B. F. G.; Lewis, J.; Williams, I. G.; *J. Chem. Soc. A* **1970**, 901.
- $\text{H}_2\text{Ru}_4(\text{CO})_{13}$  is a known thermal catalyst for 1-pentene isomerization at ~70 °C: Vaglio, G. A.; Osella, D.; Valle, M. *Transition Met. Chem.* **1977**, *2*, 94. We find slow 25 °C, catalytic isomerization that can be accelerated by near-UV irradiation. We detect no thermal conversion to *n*-pentane at 25 °C.
- Indeed, recent results show that  $\text{H}_3\text{Mn}_3(\text{CO})_{12}$  is declustered by light.<sup>16</sup>

James L. Graff, Mark S. Wrighton\*

Department of Chemistry  
Massachusetts Institute of Technology  
Cambridge, Massachusetts 02139

Received September 28, 1979

## Convenient Method for Regiospecific Carbon-Carbon Bond Formation at the $\gamma$ Position of Allylic Halides

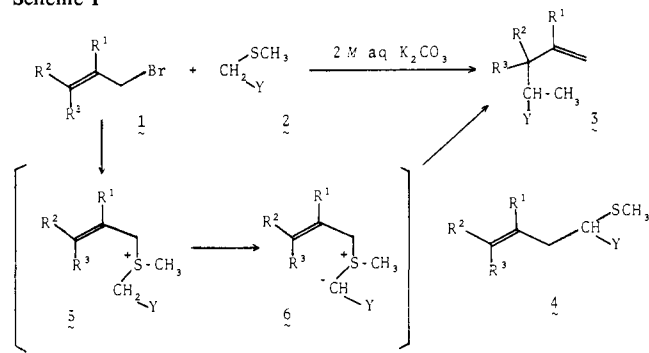
Sir:

We here disclose a new synthetic reaction for the regiospecific formation of a carbon-carbon bond at the  $\gamma$  position of an allylic bromide (**1**). The method simply involves stirring **1** and the  $\alpha$ -methylthio ketone (**2**, Y = COR) in the presence of 2 M aqueous  $\text{K}_2\text{CO}_3$  to give exclusively a substitution product (**3**, Y = COR) of the  $\text{S}_{\text{N}}2'$  type without contamination of any of its regioisomers (**4**, Y = COR) (Scheme I).

A typical procedure is as follows. To a mixture of 1 equiv of **2** and 1.6 equiv of **1** was added 2 equiv of 2 M aqueous  $\text{K}_2\text{CO}_3$ . This mixture was stirred for several days (see Table I) and extracted with  $\text{CH}_2\text{Cl}_2$ ; the dried extract was evaporated; and the residue was column chromatographed (silica gel) or distilled to provide **3**.<sup>1,3</sup> It is noteworthy that, in every case, **4** was not detected by an NMR analysis of the reaction mixture.<sup>4</sup> The yields of **3** obtained by the reaction of (methylthio)acetone (**2**, Y =  $\text{COCH}_3$ ) and  $\omega$ -(methylthio)acetophenone (**2**, Y = COPh) with a variety of allylic bromides are shown in Table I.

For the present reaction, the mechanism involving nucleophilic attack of the carbanion of **2** on the  $\gamma$  position of **1** seems unfeasible by the following reasons: (i) The acidity of **2** is too low to be deprotonated by  $\text{K}_2\text{CO}_3$ ; (ii) even if the carbanion

### Scheme I



[Y = an electron-withdrawing group]

Table I. Yields of 3<sup>a,b</sup>

R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	% yield					
			Y = COPh <sup>c</sup>	COCH <sub>3</sub> <sup>c</sup>	CONH <sub>2</sub>	COOEt	SOCH <sub>3</sub> <sup>c</sup>	
H	H	H	69 (3)	63 (4)	36 (3) <sup>d</sup>		69-93 (2-4.5)	21 (7)
H	CH <sub>3</sub>	H	94 (2)	82 (2)	95 (2)		47-62 (2-4)	57 (2)
CH <sub>3</sub>	H	H	78 (11)	71 (9.5)	45 (5) <sup>d</sup>		63-75 (3-5.5)	
H	<i>n</i> -C <sub>4</sub> H <sub>9</sub>	H	89 (5)	56 (6)	64 (6) <sup>d</sup>	78 (3) <sup>e</sup>	52 (9)	
H	-(CH <sub>2</sub> ) <sub>4</sub> -	H	89 (5)	66 (4)	92 (2)	83 (3) <sup>e,f</sup>	39 (4)	58 (7)
H	CH <sub>3</sub>	CH <sub>3</sub>	96 (2)	90 (3)	67 (2) <sup>g</sup>	91 (5) <sup>e</sup>	0 (5)	

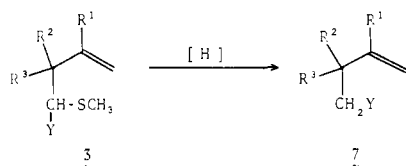
<sup>a</sup> By stirring 1 equiv of 2 and 1.6 equiv of 1 in the presence of 2 M aqueous K<sub>2</sub>CO<sub>3</sub>. <sup>b</sup> The value in the parentheses indicates reaction time (day unit). <sup>c</sup> 3-Bromocyclohexene reacted with 2 (Y = COPh or COCH<sub>3</sub>) to give the corresponding substitution product in 48 or 68% yield, respectively. However, 2 (Y = SOCH<sub>3</sub>) did not afford the expected product. <sup>d</sup> The diallylated product was formed. <sup>e</sup> The yields obtained by the reaction of 1 with 2 in the presence of solid potassium carbonate in DMF. <sup>f</sup> The yield was 28% when the reaction was carried out under the similar conditions described in a. <sup>g</sup> 93% yield based on the consumed 2 (Y = CONH<sub>2</sub>).

of 2 would form in an equilibrium state, the regioselectivity shown here cannot be expected because sodiomalonic ester reacts with crotyl halides to give the S<sub>N</sub>2-type product exclusively;<sup>5</sup> (iii) it was observed that the sodio derivative of 2 (Y = COPh) prepared by the action of NaH in tetrahydrofuran afforded 3 (Y = COPh) and 4 (Y = COPh) in the ratio of 58:42 (68% total yield).<sup>6</sup>

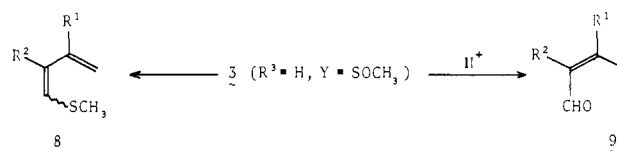
The reasonable mechanism is outlined in Scheme I. This is a two-phase reaction and initiated by the formation of sulfonium salt (5) at the α position of 1 in the organic phase. Then, 5 transports into the aqueous layer (or to the interface) and undergoes deprotonation by K<sub>2</sub>CO<sub>3</sub> to afford the corresponding ylide (6), followed by the [2.3] sigmatropic rearrangement to yield 3.<sup>7,8</sup> The mechanism involving the formation of the sulfonium salt (5) is in accord with the facts that 3 was also produced in 40-73% yield when ω-(allylthio)acetophenone was stirred along with methyl iodide (3-8 equiv) and 2 M aqueous K<sub>2</sub>CO<sub>3</sub> and that (phenylthio)acetone undergoes this type reaction more slowly and in a lower yield (see Table I).<sup>9</sup>

The easy transformation of 5 into 6 with a weak base (e.g., K<sub>2</sub>CO<sub>3</sub>) seems to be due to the presence of another electron-withdrawing group such as an acyl group. This consideration led us to examine the reaction of 1 with 2 having other electron-withdrawing groups as Y. We have found that 2 having carbamoyl or methylsulfinyl group gave the corresponding 3 in the reaction with 1 under the similar conditions mentioned above. The results are summarized in Table I. When ethyl (methylthio)acetate (2, Y = COOEt) was subjected to this reaction, the expected product 3 (Y = COOEt) was obtained in a low yield.<sup>10</sup> This could be overcome by carrying out the reaction in DMF. When 1 and 2 (Y = COOEt) was stirred in DMF in the presence of solid K<sub>2</sub>CO<sub>3</sub> at room temperature, a smooth reaction took place and 3 (Y = COOEt) was produced in a high yield.<sup>11</sup> The reaction using 2 (Y = COOEt) can be compared with the ortho-Claisen reaction with respect to the type of products, its regioselectivity, and the convenience of handling.<sup>12</sup> However, the present reaction is much more widely applicable and a variety of products can be obtained.

Finally, we demonstrate that the thus-obtained products are useful for organic syntheses. By reductive desulfurization, 3 can be converted into the compound formulated as 7. Thus, the treatment of 3 (R<sup>1</sup> = R<sup>2</sup> = H; R<sup>3</sup> = H or CH<sub>3</sub>; Y = COPh) with sodium methanethiolate in refluxing methanol afforded 7 (R<sup>1</sup> = R<sup>2</sup> = H; R<sup>3</sup> = H or CH<sub>3</sub>; Y = COPh) in 76 or 78% yield. The pyrolytic distillation of 3 (R<sup>1</sup> = R<sup>2</sup> = R<sup>3</sup> = H; Y =



SOCH<sub>3</sub>) under reduced pressure (~20 mm) at 120 °C (bath temperature) gave 1-methylthio-1,3-butadiene (8, R<sup>1</sup> = R<sup>2</sup> = H), along with dimethyl disulfide and methyl thiomethanesulfonate, and the yield of 8 (R<sup>1</sup> = R<sup>2</sup> = H) was calculated by an NMR analysis to be quantitative. A pure sample of 8 (R<sup>1</sup> = R<sup>2</sup> = H) was obtained by redistillation [bp 48-57 °C (37 mm), lit.<sup>13</sup> bp 58-59 °C (38 mm)]. Furthermore, the treatment of 3 (R<sup>3</sup> = H; Y = SOCH<sub>3</sub>) with an acid afforded an α,β-unsaturated aldehyde (9) by the hydrolysis of the dithioacetal



S-oxide with the concomitant migration of the carbon-carbon double bond. Thus, when 3 (R<sup>1</sup> = R<sup>2</sup> = R<sup>3</sup> = H; Y = SOCH<sub>3</sub>) was treated with a small amount of sulfuric acid in ethanol at room temperature for 4 days, 2-butenal was isolated in 79% yield.<sup>14</sup>

**Supplementary Material Available:** Physical data of the new compounds reported herein (12 pages). Ordering information is given on any current masthead page.

## References and Notes

- It is generally recognized that an allylic halide (1) is very labile and rearranged even at room temperature to an equilibrium mixture with a small amount of its regioisomer (CH<sub>2</sub>=CR<sup>1</sup>CR<sup>2</sup>R<sup>3</sup>Br).<sup>2</sup> Therefore we used 1 as the equilibrium mixture in the present experiments. The exclusive formation of 3 indicated that the nucleophilic substitution by 2 proceeded much faster with 1 than with its regioisomer, which was in dynamic equilibrium with 1, to form solely a sulfonium salt 5 (*vide infra*).
- Young, W. G.; Richards, L.; Azorlosa, J. *J. Am. Chem. Soc.* **1939**, *61*, 3070.
- All new compounds reported herein were characterized by satisfactory elemental analyses and acceptable spectral (IR and NMR) data.
- A GLC analysis also indicated that the reaction mixture obtained from 1 (R<sup>1</sup> = R<sup>2</sup> = H; R<sup>3</sup> = CH<sub>3</sub>) and 2 (Y = COPh) contained the corresponding 4 in less <0.5% yield, even if it existed.
- Kepner, R. E.; Winstein, S.; Young, W. G. *J. Am. Chem. Soc.* **1949**, *71*, 115, and the references cited therein.
- It was also found that the lithio derivative of 2 (Y = SOCH<sub>3</sub>) reacted with crotyl bromide in tetrahydrofuran to give 4 (R<sup>1</sup> = R<sup>2</sup> = H; R<sup>3</sup> = CH<sub>3</sub>; Y = SOCH<sub>3</sub>) and the formation of 3 (R<sup>1</sup> = R<sup>2</sup> = H; R<sup>3</sup> = CH<sub>3</sub>; Y = SOCH<sub>3</sub>) was not observed by an NMR analysis.
- The similar transformations of allylsulfonium methylides were well known. For example, Trost, B. M.; LaRochelle, R. *Tetrahedron Lett.* **1968**, 3327. Bates, R. B.; Feld, D. *Ibid.* **1968**, 417. Blackburn, G. M.; Ollis, W.; Plackett, J. D.; Smith, C.; Sutherland, I. O. *Chem. Commun.* **1968**, 186. Baldwin, J. E.; Hackler, R. W.; Kelly, D. P. *Ibid.* **1968**, 537.
- Recently, Reich and his co-worker reported the [2.3] sigmatropic rearrangement of selenium and sulfur ylides in connection with their study on the prenylation of seleno- and thio-substituted ketones: Reich, H. J.; Cohen, M. L. *J. Am. Chem. Soc.* **1979**, *101*, 1307.
- In general, it is well known that methylthio group forms the corresponding sulfonium salt more easily than phenylthio group.
- The low yield may be ascribed to the partial hydrolysis of the ester group and/or the poor reactivity of 2 (Y = COOEt).
- Not only allylic bromides but also allylic chlorides can be used to this reaction. Thus, the reaction of prenyl chloride with 2 (Y = COOEt) in DMF

in the presence of solid  $K_2CO_3$  and a catalytic amount of  $NaI$  afforded **3** ( $R^1 = H, R^2 = R^3 = CH_3; Y = COEt$ ) in 80% yield.

- (12) Johnson, W. S.; Werthemann, L.; Bartlett, W. R.; Brocksom, T. J.; Li, T.-t.; Faulkner, D. J.; Petersen, M. R. *J. Am. Chem. Soc.* **1970**, *92*, 741.  
 (13) Braun, H.; Mayer, N.; Kresze, G. *Justus Liebig's Ann. Chem.* **1972**, *762*, 111.  
 (14) This aldehyde was trapped as its 2,4-dinitrophenylhydrazone which was purified by column chromatography on silica gel.  
 (15) Address correspondence to the Department of Synthetic Chemistry, Faculty of Engineering, Chiba University, Yayoi-cho 1-33, Chiba 260, Japan.

Katsuyuki Ogura,\*<sup>15</sup> Shigeko Furukawa  
Gen-ichi Tsuchihashi\*

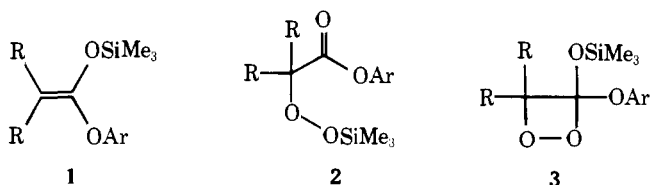
Sagami Chemical Research Center  
Nishi-Ohnuma 4-4-1, Sagami-hara, Kanagawa 229, Japan

Received October 5, 1979

### Singlet Oxygenation of Ketene Acetals: Formation of 1,2-Dioxetanes and Their Thermal Rearrangement to $\alpha$ -Peroxy Esters

Sir:

Recently we reported<sup>1</sup> that the photosensitized singlet oxygenation of ketene methyl trimethylsilyl acetals gave the corresponding methyl  $\alpha$ -trimethylsilylperoxy esters in high yield. However, when we applied this convenient synthetic utilization of singlet oxygen for the preparation of aryl  $\alpha$ -hydroperoxy esters to the corresponding ketene acetals **1**, besides



the expected  $\alpha$ -trimethylsilylperoxy esters **2**, the 1,2-dioxetanes **3** were formed as well.<sup>2</sup> These unexpected results implied the intervention of an intermediate as product branching point in the singlet oxygenation of such electron-rich substrates, a suggestion for which recent evidence has been documented.<sup>3</sup> Still more unusual and mechanistically significant was our observation that the 3-aryloxy-3-trimethylsilyloxy-1,2-dioxetanes **3** rearranged into the  $\alpha$ -trimethylsilylperoxy esters **2** on heating. This unprecedented thermal transformation of 1,2-dioxetanes in preserving the peroxide bond is rationalized in terms of heterolytic cleavage of the dioxetane ring at the carbon-oxygen bond leading to a 1,4-dipolar intermediate, which subsequently rearranges via trimethylsilyl migration to afford **2**. The following experimental results substantiate our mechanistic supposition: (i) electron-donating substituents increase while electron-withdrawing substituents decrease the proportion of **3**  $\rightarrow$  **2** rearrangement; (ii) polar solvents enhance rearrangement of dioxetane **3** into  $\alpha$ -silylperoxy ester **2** vs. fragmentation into carbonyl products. The experimental results are detailed below.

On tetraphenylporphyrin-sensitized photooxygenation of a 0.05 M solution of *tert*-butylketene phenyl trimethylsilyl acetal (**1a**) in  $CH_2Cl_2$  at  $-78^\circ C$ , irradiating with a 150-W sodium lamp, gave, besides the expected phenyl  $\alpha$ -trimethylsilylperoxy- $\alpha$ -*tert*-butylacetate (**2a**) product (characteristic  $^1H$  NMR resonance at  $\delta$  4.10 ppm for the  $\alpha$  proton), a thermally labile product, exhibiting a characteristic dioxetanyl proton at  $\delta$  4.70 ppm. Low-temperature ( $-78^\circ C$ ) silylated silica gel chromatography eluting with pentane afforded a 20% yield<sup>4</sup> of the 1,2-dioxetane **3a**: 99% peroxide titer by iodometry; correct elemental composition by combustion analysis;  $^1H$  NMR ( $CCl_4, Me_4Si$ )  $\delta$  (ppm) 0.10 (9 H, s,  $Me_3Si$ ), 1.15 (9 H, s, *t*-Bu), 4.70 (1 H, s, dioxetanyl), 6.6–7.2 (5 H, m, Ph); no carbonyl absorption in the IR.

Table I. Product Data of the Thermolysis of 1,2-Dioxetanes **3a**

dioxetane	solvent	% cleavage <sup>b</sup>	% rearrangement <sup>c</sup>	ratio <sup>d</sup>
<b>3a</b> (H)	$C_6H_6$	$30.4 \pm 3.7$	$69.6 \pm 1.0$	$2.29 \pm 0.29$
<b>3a</b> (H)	$CDCl_3$	$11.8 \pm 1.6$	$88.2 \pm 1.0$	$7.45 \pm 0.40$
<b>3b</b> ( <i>p</i> -MeO)	$C_6H_6$	$12.9 \pm 1.0$	$87.0 \pm 3.0$	$6.72 \pm 0.23$
<b>3c</b> ( <i>p</i> -Br)	$C_6H_6$	$58.2 \pm 4.6$	$41.8 \pm 0.8$	$0.72 \pm 0.10$

<sup>a</sup> [**3**],  $\sim 0.4$  M at  $80^\circ C$ . <sup>b</sup> *t*-BuCHO product by  $^1H$  NMR integration. <sup>c</sup>  $\alpha$ -Silylperoxy esters **2** by  $^1H$  NMR integration. <sup>d</sup> Rearrangement vs. cleavage product ratio for 100% decomposition of the 1,2-dioxetanes **3**.

On heating at  $89^\circ C$  the dioxetane **3a** decomposed with light emission into the expected *tert*-butylcarboxaldehyde and presumably phenyl trimethylsilyl carbonate (not characterized); however, the major product was the  $\alpha$ -peroxy ester **2a** (Table I), isolated by silylated silica gel chromatography at  $-50^\circ C$  and purified by vacuum distillation (bp  $75^\circ C$  at 0.07 Torr,  $n_D^{25}$  1.4735): 99% peroxide titer by iodometry; correct elemental composition by combustion analysis;  $^1H$  NMR ( $CCl_4, Me_4Si$ )  $\delta$  (ppm) 0.25 (9 H, s,  $Me_3Si$ ), 1.10 (9 H, s, *t*-Bu), 4.10 (1 H, s,  $\alpha$  proton), 6.6–7.2 (5 H, m, Ph); 1780 and  $1760\text{ cm}^{-1}$  carbonyl bands in the IR ( $CCl_4$ ). Methanolysis of the  $\alpha$ -peroxy ester **2a** or dioxetane **3a** afforded a 79% yield of phenyl  $\alpha$ -*tert*-butyl- $\alpha$ -hydroperoxyacetate: mp  $91\text{--}93^\circ C$  (from hexane); >99% peroxide titer by iodometry; correct elemental composition by combustion analysis;  $^1H$  NMR ( $CCl_4, Me_4Si$ )  $\delta$  (ppm) 1.0 (9 H, s, *t*-Bu), 4.40 (1 H, s,  $\alpha$  proton), 6.9–7.3 (5 H, m, Ph), 4.70 (1 H, s, OOH); IR ( $CCl_4$ )  $\nu$  ( $\text{cm}^{-1}$ ) 3550–3200 (OOH), 1780 (C=O), 1385 and 1375 (*gem*-dimethyl).

The rearrangement of dioxetane **3a** into  $\alpha$ -silylperoxy ester **2a** represents the first example of a peroxide bond preserving transformation of 1,2-dioxetanes. Usually such energy-rich molecules suffer peroxide bond cleavage to afford electronically excited carbonyl fragments on thermal activation.<sup>5</sup> It was, therefore, surprising that the latter event was the minor course in the thermolysis of the 1,2-dioxetane **3a**. The fact that the rearrangement **3a**  $\rightarrow$  **2a** outweighs the usually facile dioxetane cleavage process intrigued us sufficiently to elucidate the mechanism of this unprecedented reaction.

For this purpose we prepared the *p*-methoxy (**3b**) and *p*-bromo (**3c**) derivatives via singlet oxygenation of the respective ketene acetals. Their isolation, purification, and characterization followed the same procedure as outlined for the parent system **3a**.<sup>6</sup> As with the parent system so also these dioxetanes rearrange into the respective  $\alpha$ -silylperoxy esters and cleave into *t*-BuCHO, but the relative amounts depend on the electronic nature of the substituent (Table I). For example, the rearrangement vs. cleavage product ratio increases with the electron-donating ability of the para substituent on the aryloxy moiety, i.e., *p*-MeO  $>$  H  $>$  *p*-Br. In fact, a Hammett plot of the product ratio vs.  $\sigma$  gave a negative  $\rho$  ( $-1.94 \pm 0.08$ ), indicating buildup of positive charge at the ketal carbon. These results are rationalized in terms of the 1,4-dipolar intermediate **4** shown in Scheme 1.

Additional evidence for the unexpected heterolytic ring opening of the 1,2-dioxetane **3** comes from solvent effects. As Table I reveals, for the dioxetane **3a** in the more polar  $CDCl_3$  the rearrangement outweighs the cleavage process by ca. threefold compared with benzene. Consequently, a dipolar transition state is being stabilized by the polar solvent. Attempts to use more polar solvents such as  $CH_3CN$ ,  $Me_2SO$ , or DMF (aprotic) and  $CH_3OH$  (protic) were thwarted owing to competing and complex side reactions. The trimethylsilyl-1,2-dioxetanes are extremely susceptible to hydrolysis even by adventitious moisture.

Since 1,4-dipolar intermediates, produced by [2 + 2] cy-